## **Fundamentals of Underwater Sound**

Joe Warren Stony Brook University

joe.warren@stonybrook.edu



@warren\_lab



# Fundamentals of Underwater Sound

- I. Background Information
- **II. Underwater Sound Creation**
- **III.** Sound Transmission
- IV. Passive and active acoustics
- V. Sound scattering

I. Background Information

A. What is a sound ?

B. How can we measure a sound ?

C. How do we graphically represent sounds ?

I strongly recommend watching Dr. Tracianne Neilsen's DOSITS webinar from 2 June 2020 which covers these topics in greater detail.

https://dosits.org/decision-makers/webinar-series/2020-webinar-series/ fundamentals/



Francis Barraud, 1898

#### A. What is a sound ?

Sound is a pressure vibration that travels through a medium and is received by a listener.



www.nsta.org

#### B. How can we measure a sound ?

Record how pressure varies with time.

Pressure can vary greatly so we use deciBels (dB) to describe the amplitude of the signal relative to a reference value

deciBels are a logarithmic measure





When you lied on your CV about having previous sheepdog experience.



3:27 AM - 25 Sep 2017 from Sheffield, England



waveform (pressure vs time)





waveform (pressure vs time)





#### spectrum (energy vs frequency)







#### spectrogram (energy vs frequency vs time)





Time (seconds)

#### II. Underwater Sound Creation

- A. Different sources of underwater sound
- B. Types of Sounds
- C. Soundscape



"A Louie, Louie ... wowoooo ... We gotta go now ... "

#### The Far Side, Gary Larson

- 1. Geophony naturally-occurring sounds in the environment
  - a. Geological processes undersea earthquakes, volcanoes



https://www.nytimes.com/interactive/2022/04/14/upshot/tonga-pressure-wave.html

1. Geophony – naturally-occurring sounds in the environment

b. Physical processes – wind, waves, storms, and rain

#### The anatomy of underwater rain noise

Herman Medwin, Jeffrey A. Nystuen,<sup>a)</sup> Peter W. Jacobus,<sup>b)</sup> Leo H. Ostwald,<sup>b)</sup> and David E. Snyder<sup>b)</sup> *Physics Department, Naval Postgraduate School, Monterey, California 93943* 



NASA

https://earthobservatory.nasa.gov/features/Rain

FIG. 2. Field observations (light dashed lines) and predictions (heavy solid lines) based on angles of incidence of small drops at four wind speeds (Nystuen, 1992).

Medwin et al., 1992, Journal of the Acoustical Society of America

Audio clip available at: https://dosits.org/galleries/audio-gallery/other-natural-sounds/rainfall/

#### 2. Biophony – organisms creating sounds in the environment



## Audio Gallery



https://dosits.org/galleries/audio-gallery/

## Listening to – humpback whale



#### 3. Anthrophony – human-created sounds







Icebreaker



**ATOC Transmission** 



**Bubble Curtain** 



Dredging



**Explosive Sound** Sources



**Ocean Acoustic** Tomography Transmission



**Outboard Motor** 



**Personal Water Craft** (PWC)









**Underwater Breathing** Apparatus

**SURTASS LFA Sonar** 



#### 1. Broadband sounds – short duration, energy at many frequencies



Time (seconds)

https://musiclab.chromeexperiments.com/spectrogram/

Frequency

# 2. Narrowband sounds – energy at single/few frequencies, longer duration



Time (seconds)

https://musiclab.chromeexperiments.com/spectrogram/

- 3. "Low" and "High" frequency sounds
  - larger driver needed to create lower frequencies
  - "low/high" are dependent on listener or context (human vs dog vs bat)



2-Way Speaker

3-Way Speaker

Drawing: B&H Photo Video

4. Amplitude – loud vs soft

Amplitude of a pressure signal is easy to measure

How a human perceives that signal as being "loud" or not ?

Complex process involving pressure, duration, and frequency

Sound can seem "louder" depending on above and listener

Audio salesman "trick": humans think louder is better

\* reference pressure is different for underwater sound than in air

Interesting website to test your headphones and loudspeakers: https://www.audiocheck.net/index.php

## Listening to – fin whale



## Listening to – fin whale 20 Hz pulse



## Listening to – fin whale (sped up 10X)



5. Repetition – continuous vs intermittent

Listener perception is important

whirr of a fan at night

low battery "chirp" of a smoke detector

Amount of energy received (integrated by the ear) is important

hearing loss or damage

temporary or permanent threshold shifts

#### C. Soundscape

#### the acoustic environment as perceived by a listener



https://soundscape.world/play/swamp

Sound travels from the source to the receiver

- 1. Sounds become quieter the further you are from the source
- 2. Sounds can reflect or scatter
- 3. Sounds can refract (or "bend") as they travel



Next month's DOSITS seminar by Aaron Thode is on this topic

1. Sounds become quieter the further you are from the source

#### Transmission Loss – result of two processes a. Geometric spreading Spherical spreading if no boundaries



https://dosits.org/science/advanced-topics/cylindrical-vs-spherical-spreading/

1. Sounds become quieter the further you are from the source

#### Transmission Loss – result of two processes a. Geometric spreading Cylindrical spreading if boundaries hit



https://dosits.org/science/advanced-topics/cylindrical-vs-spherical-spreading/

1. Sounds become quieter the further you are from the source

# Transmission Loss – result of two processes

a. Geometric spreading

b. Absorption

Frequency-dependent process where energy is lost as sound moves from molecule to molecule in water

Higher frequencies lose more energy than lower frequencies

Salt ions (MgSO<sub>4</sub> and Boric acid) play an important role

Absorption coefficient (Francois & Garrison, 1982)  

$$\alpha = f^{2} \left\{ \frac{A_{1}f_{1}}{f_{1}^{2} + f^{2}} + \frac{A_{2}P_{2}f_{2}}{f_{2}^{2} + f^{2}} + A_{3}P_{3} \right\} \text{ in salt water}$$

$$= f^{2}A_{3}P_{3} \text{ in fresh water}$$
where:  

$$A_{1} = \frac{8.86 \times 10^{0.78 \, pH - 5}}{c}$$

$$A_{2} = \frac{21.44S(1 + 0.025T)}{c}$$

$$A_{3} = 4.937 \times 10^{-4} - 2.59 \times 10^{-5}T + 9.11 \times 10^{-7}T^{2} - 1.5 \times 10^{-8}T^{3} \quad T \leq 20$$

$$= 3.964 \times 10^{-4} - 1.146 \times 10^{-5}T + 1.45 \times 10^{-7}T^{2} - 6.5 \times 10^{-10}T^{3} \quad T > 20$$

$$f_{1} = 2.8 \sqrt{\frac{S}{35}} 10^{4 - \frac{1245}{T + 273}}$$

$$f_{2} = \frac{8.17 \times 10^{8} - \frac{1990}{T + 273}}{1 + 0.0018(S - 35)}$$

$$P_{2} = 1 - 1.37 \times 10^{-4}D + 6.2 \times 10^{-9}D^{2}$$

$$P_{3} = 1 - 3.83 \times 10^{-5}D + 4.9 \times 10^{-10}D^{2}$$
This algorithm applies to all oceanic conditions and 200 Hz < f < 1 MHz.

https://dosits.org/decision-makers/tutorials/science/absorption/

1. Sounds become quieter the further you are from the source

#### Transmission Loss – result of two processes a. Geometric spreading b. Absorption

# If we know environmental parameters and frequencies, we can predict transmission loss fairly well.

#### Calculation of absorption of sound in seawater

The absorption of sound in seawater forms part of the total transmission loss of sound from a source to a receiver. It depends on the seawater properties, such as temperature, salinity and acidity as well as the frequency of the sound. The details of the <u>underlying physics</u> of absorption are quite complex. Note that the absorption causes only part of the transmission loss. Usually, the major contribution to transmission loss is the spreading of the acoustic wave as it propagates away from the source.

To use the calculator below, enter the frequency of interest and the values of water temperature and depth. Default values for salinity and acidity ( $\rho$ H) are provided, but these can also be modified if this data is available. The values for the absorption are calculated automatically. The three alternatives are derived using algorithms from the sources specified.



#### http://resource.npl.co.uk/acoustics/techguides/seaabsorption/

2. Sounds can reflect or scatter

scattering occurs when a sound wave encounters any change in density or soundspeed of the medium

Sea surface and sea floor can reflect sound

Variations in the ocean (temperature and salinity, fish schools) can also scatter energy



https://dosits.org/science/advanced-topics/shallow-water-propagation/

#### 2. Sounds can reflect or scatter

## Blue and fin whale call source levels and propagation range in the Southern Ocean

Ana Širović,<sup>a)</sup> John A. Hildebrand, and Sean M. Wiggins Scripps Institution of Oceanography, 9500 Gilman Drive, La Jolla, California 92093-0205



FIG. 1. Blue (a) and fin whale (b) calls recorded off the Western Antarctic Peninsula, showing multipath arrivals. In both examples, paths shown were first, second, and third bounces (marked 1, 2, and 3, respectively); direct path is not visible. Calculated ranges were 33 km for the blue whale and 40 km for the fin whale. Theoretical contributing bounces for the fin whale path arrivals are shown in part (c), with the thick line representing the first bounce, the medium thickness line for the second bounce, and the thin line for the third bounce. Calling whale location is denoted with a black square and the receiving ARP location is shown by a black circle.

https://asa.scitation.org/doi/pdf/10.1121/1.2749452

3. Sounds can refract (or "bend") as they travel

Variations in soundspeed will cause sound waves to change their direction (or refract).

Soundspeed varies w/ temperature, salinity, and pressure (depth)



https://dosits.org/science/advanced-topics/shallow-water-propagation/

3. Sounds can refract (or "bend") as they travel

Much of the ocean has a deep sound waveguide (called the SOFAR channel)

Allows some sounds (whale calls) to travel very long distances

Was utilized to locate downed pilots during WW2



http://archive.hnsa.org/doc/sonar/chap16.htm

#### 3. Sounds can refract (or "bend") as they travel

#### Can get regions with higher (or lower) than expected signals

Figure 4. Left three: temperature (T), salinity (S), and sound speed (C) profiles at 84°N, 28°E in the eastern Arctic Ocean from the 2013 World Ocean Atlas. The temperature maximum at about 300 meters is associated with the Atlantic layer. **Right:** geometric ray paths for an acoustic source at a 60-meter depth. Rays with positive (**upward**) and negative (**downward**) launch angles of the same magnitude give rise to the closely spaced ray pairs. The ray with a positive launch angle reflects from the surface before starting downward.



Spring 2020 Acoustics Today 57

https://acousticstoday.org/wp-content/uploads/2020/02/Ocean-Acoustics-in-the-Rapidly-Changing-Arctic-Peter-F.-Worcester.pdf

IV. Passive and active acoustics

#### A. Passive acoustics "listens" to an environment's soundscape

B. Active acoustics transmits a sound, then listens for echoes





"A whale, Seaman Beaumont, a whale. A marine mammal that knows a hell of a lot more about sonar, than you do."

- Seaman Jones [Courtney B. Vance]

"Give me a ping, Vasili. One ping only, please." - Captain Ramius [Sean Connery]

The Hunt for Red October, 1990

A. Passive acoustics "listens" to an environment's soundscape

1. Silent animals can not be detected

2. Some animal sounds indicate specific behaviors



Time (s)

A. Passive acoustics "listens" to an environment's soundscape

1. Silent animals can not be detected

2. Some animal sounds indicate specific behaviors



B. Active acoustics transmits a sound, then listens for echoes

- 1. Navigation and vessel safety
- 2. Geophysical exploration
- 3. Fisheries and biological monitoring
- 4. National security (vessel detection)



#### V. Sound scattering

- A. Echosounder invention
- B. Discovery of biological scatterers by fishers
- C. Echograms provide a unique view of the ocean interior
- D. Examples of applied active acoustics





#### A. Echosounder invention

20 April 1912 (6 days after Titanic) Lewis Fry Richardson files a British patent: "Apparatus for Warning a ship of its approach to large Objects in a Fog" (GB191209423)





Date of Application, 20th Apr., 1912 Complete Specification Left, 18th Oct., 1912—Accepted, 6th Mar., 1913

#### PROVISIONAL SPECIFICATION.

Apparatus for Warning a Ship of its Approach to Large Objects in a Fog.

Advantage is taken of the transparency of fog to sound. A beam of sound is sent out over the surface of the sea and its echo detected by a sensitive receiver. By using sound of a very short wave length diffraction effects are

A year later, German Alexander Behm files (DE 282009) for an "Improvement in or relating to a Method of and apparatus for Measuring Distances under Water by means of Reflected Sound waves"



B. Discovery of sound scattering layers

1927 - Raymond Rallier du Baty, 1927 Detects "false bottom," attributes to fish

- 1929 K. Kimura, 1929 Proves fish can produce echoes in experimental pond
- 1930s Ronnie Balls (UK) and Reinert Bokn (Norway) Fishing captains, start using echosounders

1935 - Oscar Sund First published echograms of fish in Nature

Echo Sounding in Fishery Research THE vessel used for the annual oceanographical investigations in the Lofoten area (the Johan Hjort) had a Hughes echo sounding gear (magnetostriction system, frequency 16,000 cycles per second) installed



FIG. 1. Four 'echo'-records showing spawning cod in midwater at Lofoten. The left-hand diagrams partly with ship stopped. The bottom right-hand record is somewhat disignred by oscillations set up by excessive shaking of ship's motor; but it shows also a second echo from the bottom, reflected from the surface. Marks on top of each diagram are produced every minute and are 6-7 mm. apart.

the conclusion of this investigation on April 5. Concurrently, the temperature in the 'fish' waterlayer had decreased from  $6 \cdot 5^\circ - 6 \cdot 0^\circ$  to about  $3 \cdot 0^\circ$  C. In some instances a perceptibly lower oxygen and hydrogen ion concentration was observed in this water-layer than in the layers

immediately above and below. Although two zigzag trips were made across the entire bank area of the West Fjord, strong marks such as those shown in the records reproduced were only obtained at the locality referred to above ; in other places only small and widely separated dots. Still a certain amount of fishing, if not very successful, was going on everywhere. A true estimate of the quantity of fish represented by marks of different types can, however, be gained only by further study in connexion with the use of suitable fishing implements.

OSCAR SUND. Johan Hjort, Kabelvåg, Lofoten. April 6.

## B. Discovery of sound scattering layers

# Noon Midnight





#### Figure courtesy of Dr. Kevin Boswell (FIU)

#### C. Echograms show what happens below the surface



C. Estimating Biology from Acoustic Measurements



#### C. Estimating Biology from Acoustic Measurements

#### Colorscale = # fish / m<sup>3</sup>



D. Applied active acoustics – Foraging humpback whales in Alaska (work done w/ Dr. Heidi Pearson, U. Alaska Southeast)













![](_page_48_Picture_1.jpeg)

![](_page_48_Figure_2.jpeg)

Along track distance (m)

akhump-D20110810-T014455 - 500to700m - 200 kHz Echogram

![](_page_49_Figure_1.jpeg)

How many fish are in a bubble-net 'corral' ?

Volume of corral? ~ cylinder 20 m dia/ht # of fish  $/ m^3$  in corral ?  $\sim 10 / m^3$  [red areas] # of whales feeding ? 12 Efficiency ? 70%-20%

![](_page_50_Figure_3.jpeg)

![](_page_50_Picture_4.jpeg)

**95%-50%** 

# How many fish are in a bubble-net 'corral' ? 63,000 fish / corral

"most efficient feeding" 3,500 fish / whale gulp 2 gulps / whale / day

![](_page_51_Picture_3.jpeg)

"least efficient feeding" 250 fish / whale gulp 32 gulps / whale / day

## D. Applied active acoustics - Philippine Sardines

> 7,000 islands in the Philippines

10<sup>th</sup> in the world in seafood production 90% of catch consumed domestically 72 lbs of fish / person / year

Sardines are ~ 20% of catch

1.6 million fishermen

![](_page_52_Picture_5.jpeg)

70% of stocks are likely overfished potentially 800 million people at risk (food security)

BFAR (enforcement agency) has very limited resources

# **Moalboal Sardine Shoal**

Regular (daily) near-shore aggregation of **A LOT** of sardines

Tourist attraction for  $\sim$  10 local dive/snorkel operators

![](_page_53_Picture_3.jpeg)

Diving Moalboal and Pescador Island

![](_page_53_Picture_5.jpeg)

Snorkeling, Island Hopping and Sardine Run -Moalboal Cebu

![](_page_53_Picture_7.jpeg)

Swimming With Millions of Sardines in Moalboal, Philippines

![](_page_53_Picture_9.jpeg)

BEAUTIFUL MOALBOAL (DRONE IS BACK!)

# **Moalboal Sardine Shoal**

Regular (daily) near-shore aggregation of **A LOT** of sardines

Tourist attraction for  $\sim$  10 local dive/snorkel operators

![](_page_54_Picture_3.jpeg)

Snorkeling, Island Hopping and Sardine Run -Moalboal Cebu

BEAUTIFUL MOALBOAL (DRONE IS BACK!)

![](_page_55_Picture_0.jpeg)

# **Moalboal Sardine Shoal**

Shoal has been stable for ~ 5 years

It is also fished

![](_page_56_Picture_3.jpeg)

What is the economic value of shoal

- as a source of fish for food
- as a tourist resource

How many fish are there in the shoal ?

![](_page_57_Picture_0.jpeg)

![](_page_57_Picture_1.jpeg)

![](_page_57_Picture_2.jpeg)

0

![](_page_58_Figure_1.jpeg)

![](_page_58_Figure_2.jpeg)

Cumulative Minutes - Starting at 7:19 on 20180506

![](_page_59_Figure_1.jpeg)

Measured packing density agrees with theoretical estimates (~ L<sup>-3</sup> ~ 250-500/m<sup>3</sup>)

# Fish = School Volume \* Packing density

Acoustics H ( 2 – 4 m) Mean packing density (5-10/m³)

L, W estimated (200 - 600 m, 3 - 10 m)

# Fish ~ 150,000 sardines (10,000 to 250,000 sardines)

So don't believe youtube video titles !

![](_page_61_Picture_1.jpeg)

Estimated fishery value ~

\$19,000 USD

# Tourism economic value ~ \$17,000,000 USD

## Thanks for attending and I'm happy to answer questions ?

Feel free to contact me: joe.warren@stonybrook.edu