

How are Passive Acoustic Data Used to Inform the Decision-Making Process?

Elizabeth Henderson

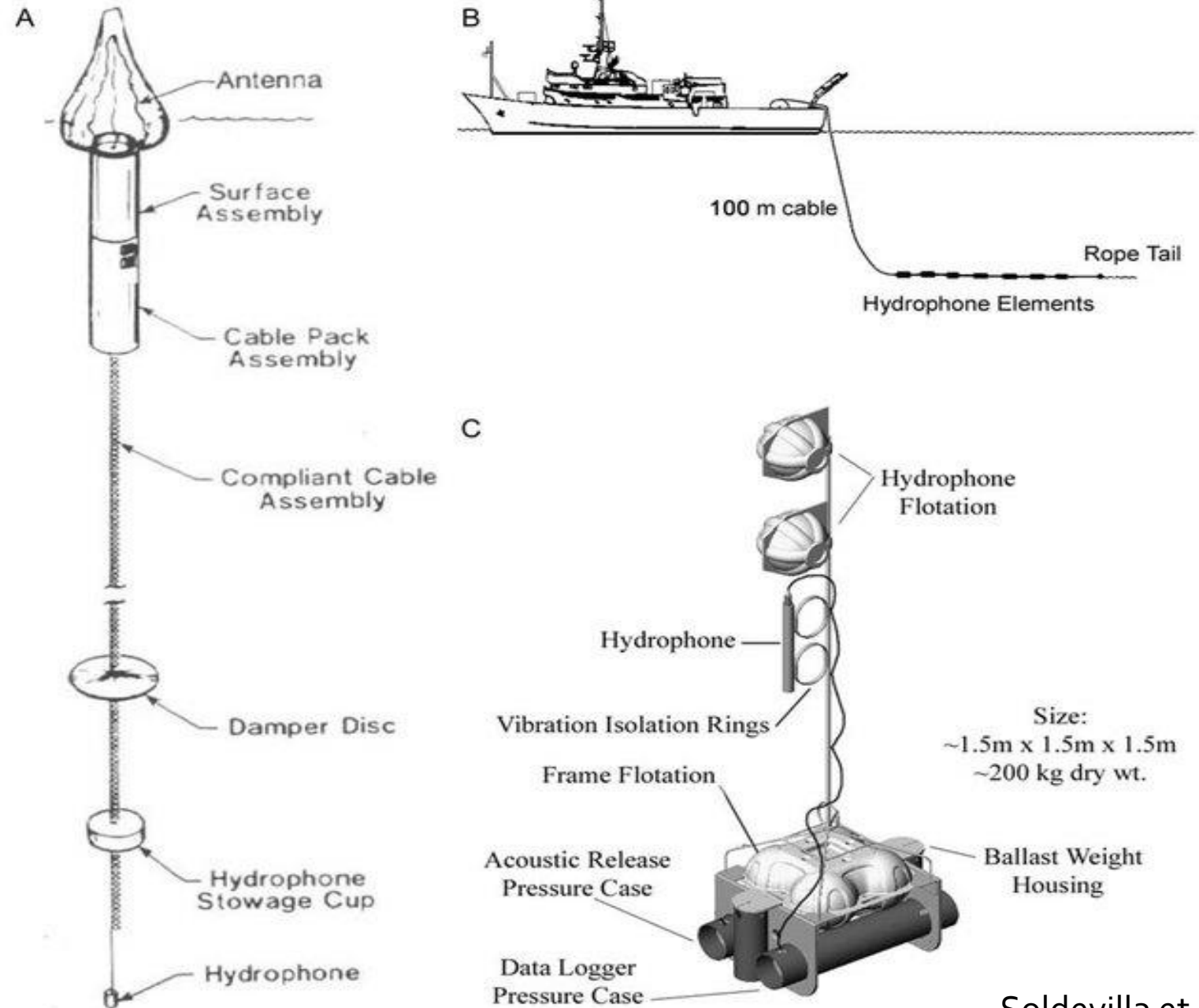
NIWC Pacific

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What is Passive Acoustic Monitoring?

- Use of a hydrophone and recorder to listen to underwater sounds
- Passive vs Active?
 - Listening/recording rather than emitting sound
- Sound/Signal vs Noise?
 - Sound of interest often referred to as “sound”
 - Marine mammal vocalizations
 - Seismic air guns
 - Other incidental sounds often referred to as “noise”
 - Ambient/background sounds
 - Anthropogenic sounds
- Types of recording instruments vary
 - Towed arrays
 - Instruments to be recovered
 - Non-recovered recorders
 - Bottom-mounted hydrophones

What is Passive Acoustic Monitoring?



Soldevilla et al. 2006

How Does Passive Acoustic Data Help Decision Making?

- Typically less expensive/longer duration than other methods
- Can address a variety of data topics
 - Marine mammal occurrence, behavior, habitat use, behavioral response
 - Presence of anthropogenic activity
 - Noise levels (Background, specific sounds)
 - Source level vs received level
- Depends on question, type of recording system, and type of data
- [DOSITS page](#)
 - How sound is used to help marine mammals

Passive Acoustic Data Types

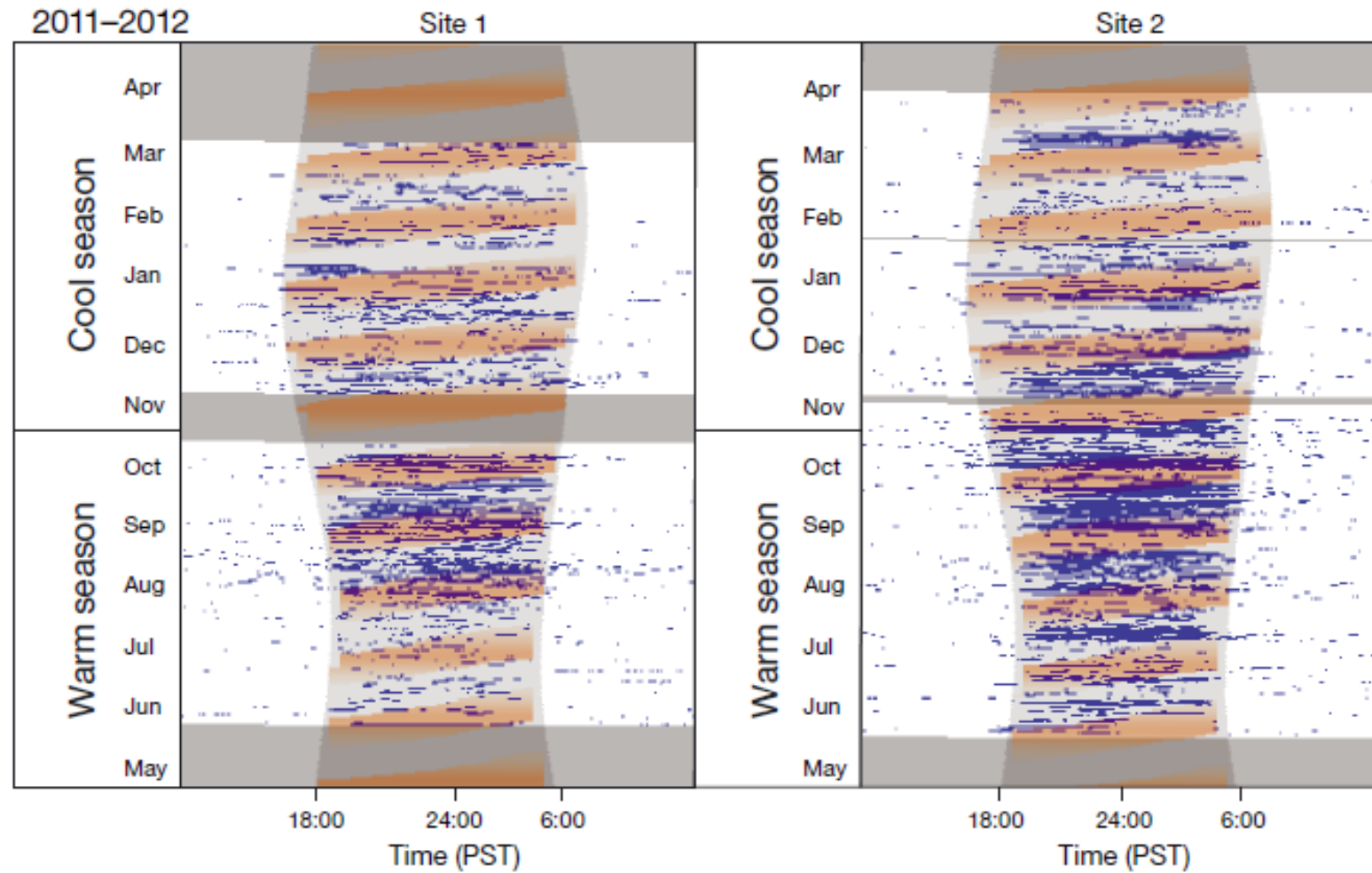
- How much data recorded?
 - Continuous
 - Periodic
 - Duty-cycled data (e.g. record 5 min, off for 15 min = 25% duty cycled)
- How many receivers?
 - Single sensor recorders
 - Multi-sensor recorders/arrays
- Where are receivers located?
 - Water column vs seafloor
 - Recorders that are deployed and recovered
 - Permanently mounted/cabled hydrophones
 - Acoustic tags attached to animals (e.g. DTAG)
- What data are recorded?
 - Raw acoustic files
 - Detection reports (e.g. C-PODS)

Passive Acoustic Data Capabilities and Questions

- Different questions can be answered by different data types
 - Presence/Absence of a species
 - Types of detections relative to behavior
 - Localization of animals
 - Track animals
 - Abundance/Density

- Any type of recorder, duty cycle, or data format
- What species are present in a given area?
- Are there patterns to that species' presence?
 - Diel, seasonal, interannual
 - E.g. [Simonis et al. 2017](#) – Seasonal and diel patterns of common dolphins
- How much temporal/spatial overlap between species' of interest and planned activity?
 - [Johnson et al. 2016](#) – Acoustic (and other) detections of sperm whales, overlap with proposed marine protected area

Presence/ Absence

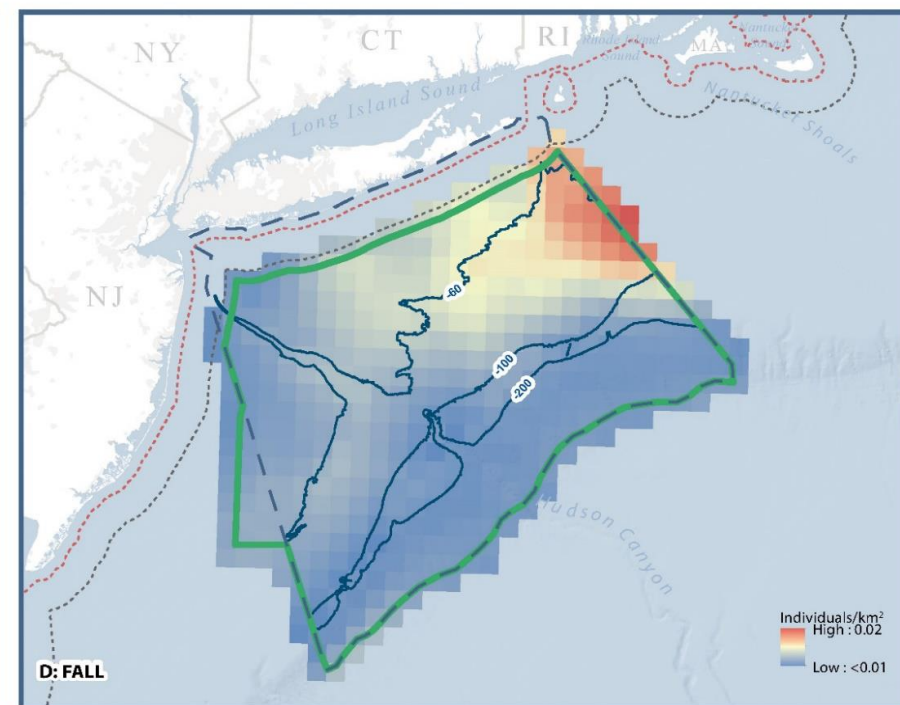
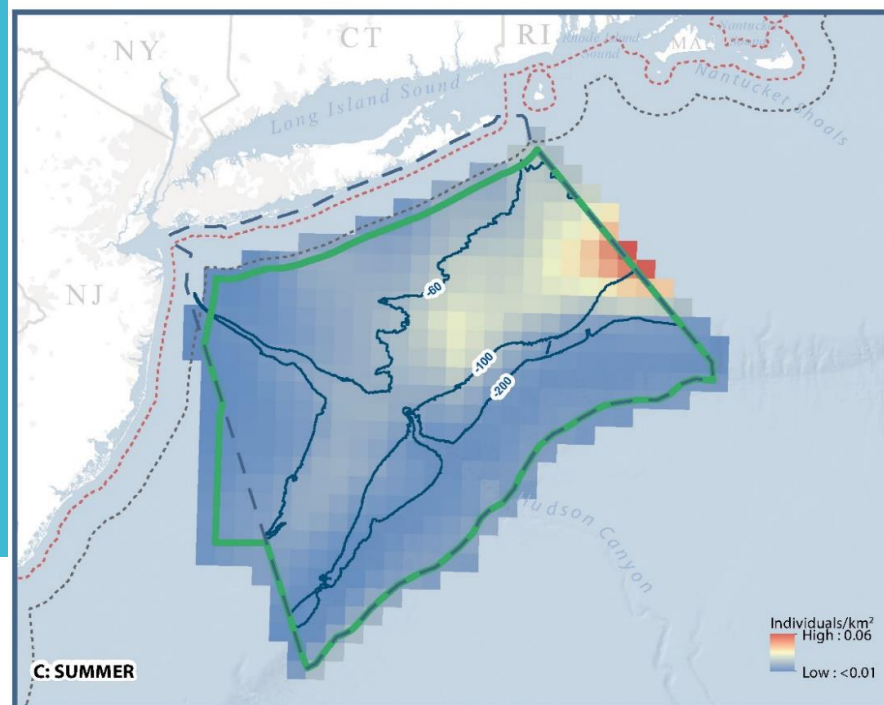
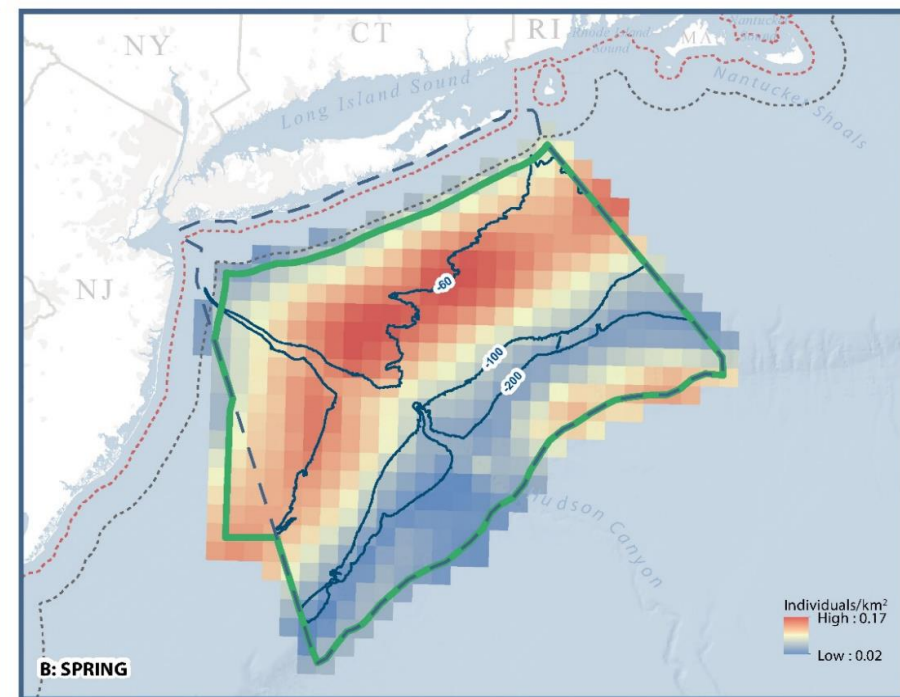
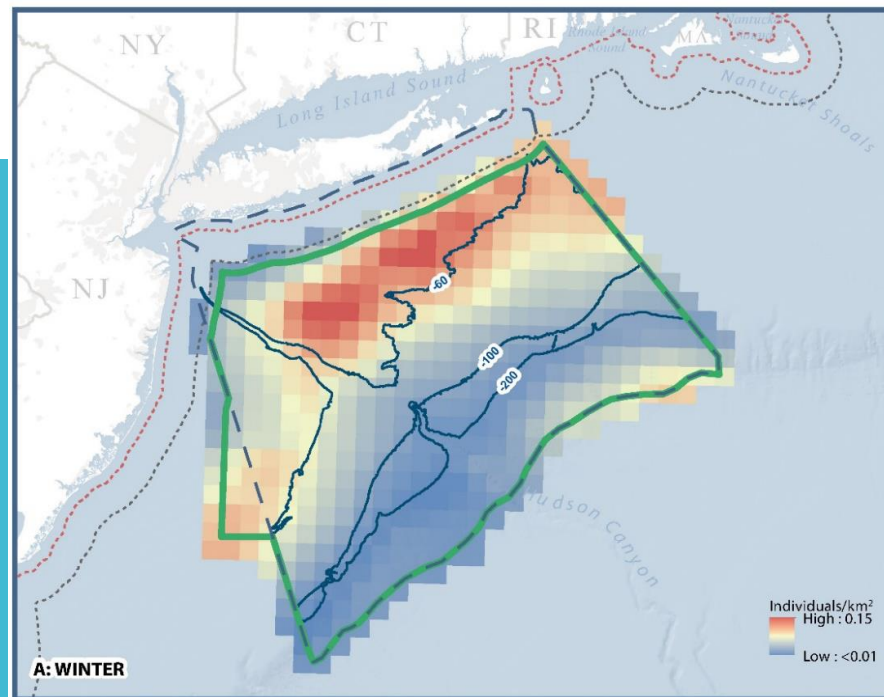


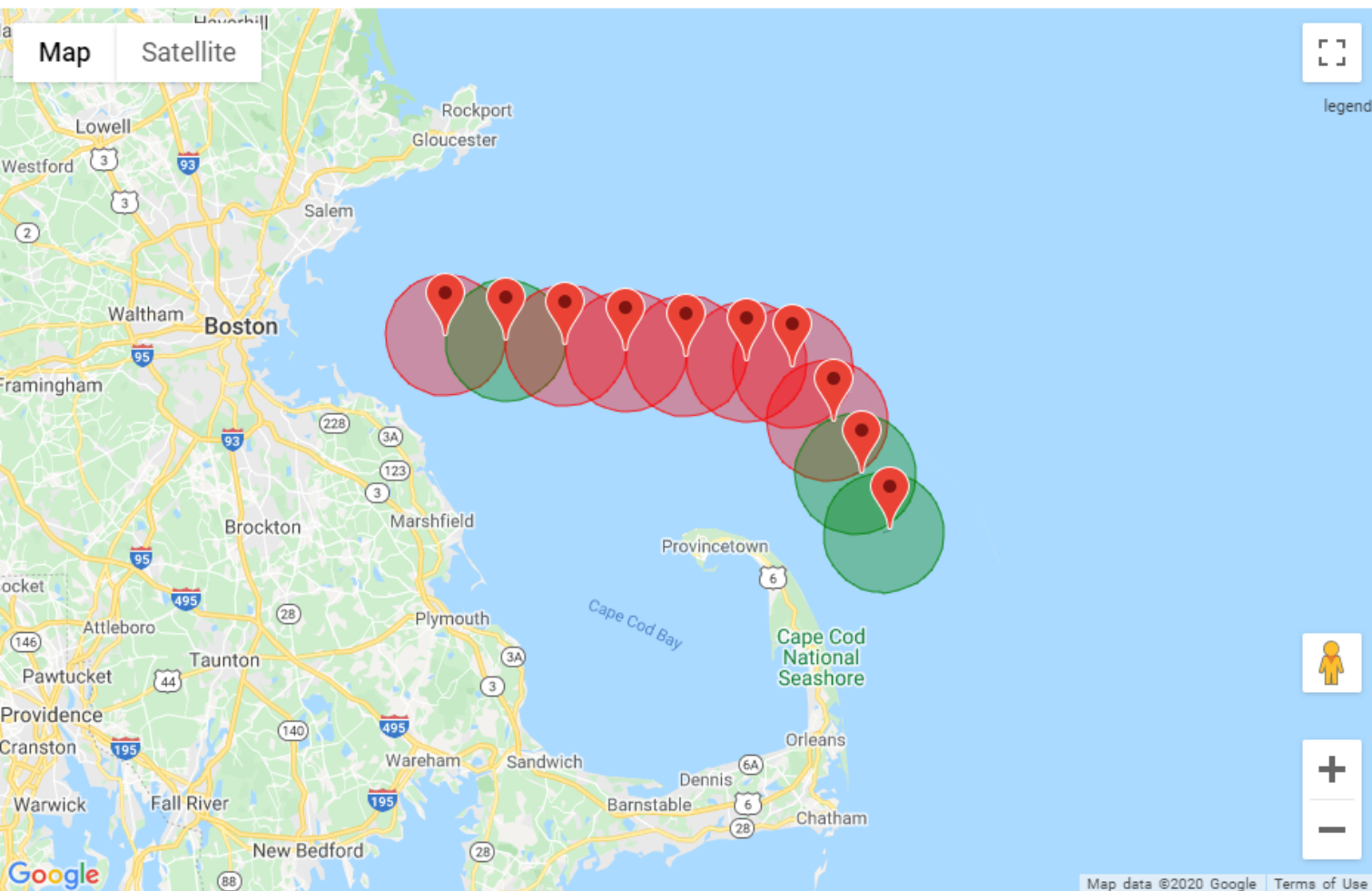
Simonis et al. 2017

Presence/ Absence

- Important for project planning, shipping traffic
- Historical passive acoustic data
- Seismic surveys, wind farm development, coastal construction
 - Can plan seasonally when high risk species are not present
 - [New York State Offshore Wind Master Plan Marine Mammals and Sea Turtles Study](#)
- Real time acoustic data
- Whale Alert - <http://www.whalealert.org/>
 - West Coast - blue, fin, and humpback whales
 - Reduced ship strikes in shipping lanes
 - East Coast – North Atlantic right whales
 - Dynamic and Seasonal Area closures
 - Acoustic system in Stellwagen Bank to report detections

Presence/ Absence

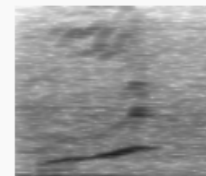




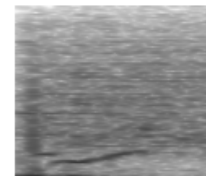
Last Alert Expires: Mon Oct 19 2020 22:53:23 GMT-0700 (Pacific Daylight Time) (about 13 hours from now)

recent detections

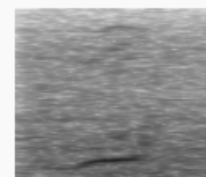
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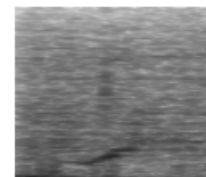
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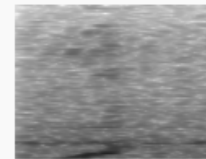
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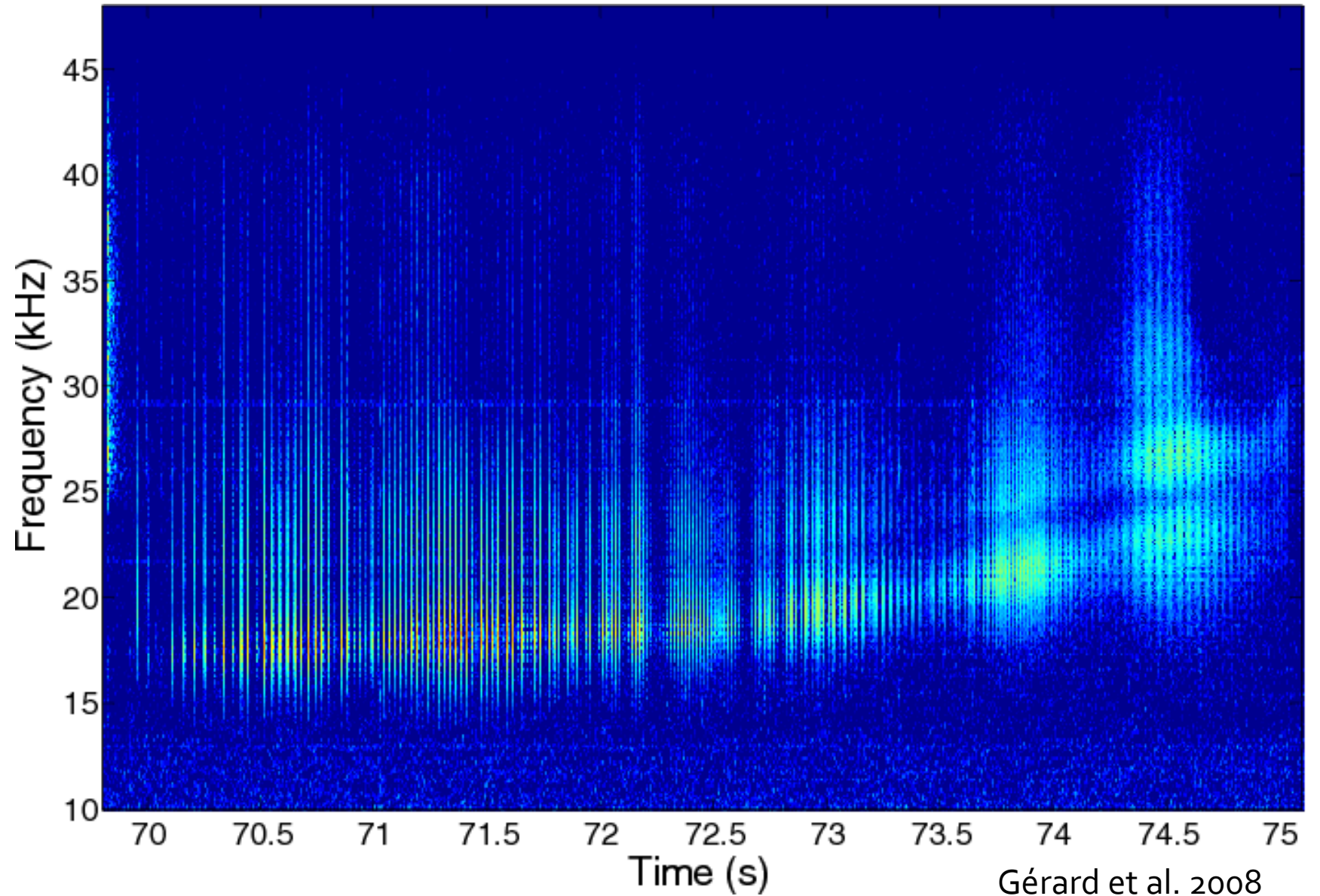
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Behavior

- Behavioral States
 - Foraging/Socializing/Traveling/Resting
 - Specific foraging vocalizations
 - Echolocation clicks and buzzes (e.g. Blainville's beaked whales, [Gérard et al. 2008](#))
 - Acoustic cues (e.g. humpback whale bubble net feeding, [D'Vincent et al. 1985](#))
 - Often more vocalizing (whistling) when socializing
 - Fewer vocalizations in some states
 - Resting
 - Mammal-eating killer whales reduce vocalizations when hunting (e.g. [Deeke et al. 2005](#))
- Time/activity budgets of behavior
 - e.g. [Henderson et al. 2011](#)
- Humpback song/other baleen whale song

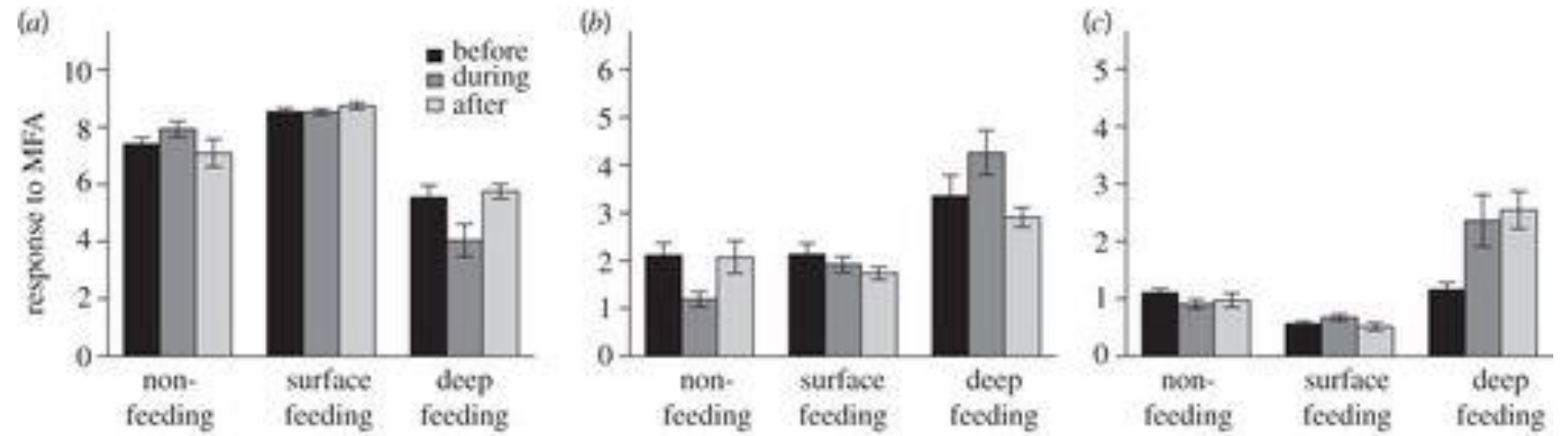
Behavior



Behavior

- Some species more responsive/sensitive to sounds when in specific behavioral state
 - Blue whales
 - [Goldbogen et al. 2013](#) – blue whales responded more to mid-frequency sonar when deep feeding, less when shallow feeding
 - Humpback whales
 - [Sivle et al. 2016](#) – humpback whales stopped foraging when sonar began
 - BUT [Wensveen et al. 2017](#) – found a lack of avoidance behavior in humpback whales when exposed to sonar (same study)
- Risk of entanglement
 - [Santora et al 2020](#) – Increase in humpback whale entanglements during Pacific Heat Wave
 - reduced foraging habitat plus shift in peak crab fishing
- Can develop mitigation strategies based on location/time of year for important behaviors

Behavior

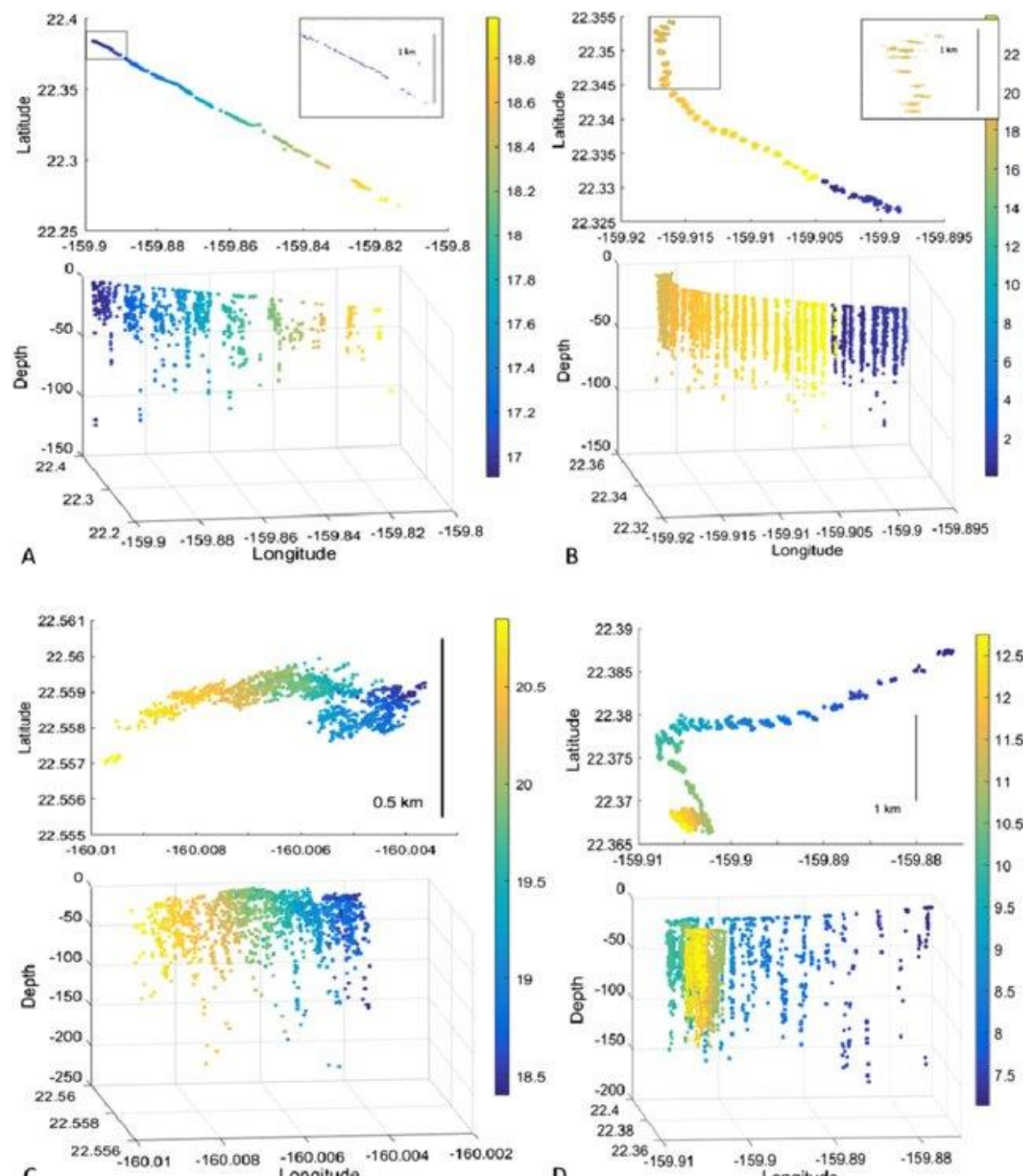


Goldbogen et al. 2013

Localized/ Tracked Animals

- Localize groups of vocalizing animals
 - Sperm whales, beaked whales
- With multiple sensors can also acoustically track animals
 - [Gassmann et al. 2013](#) – used four recorders on seafloor to localize and track killer whale group
- Navy ranges have hundreds of bottom-mounted hydrophones
 - Can detect, localize, and track frequently calling animals in 3D
 - [Henderson et al. 2018](#) – tracked singing humpback whales in Hawaii, identified different movement behaviors
 - [Helble et al. 2020](#) and [Guazzo et al. 2020](#) – tracked humpback and minke whales in Hawaii, estimated source levels of vocalizations

Localized/ Tracked Animals

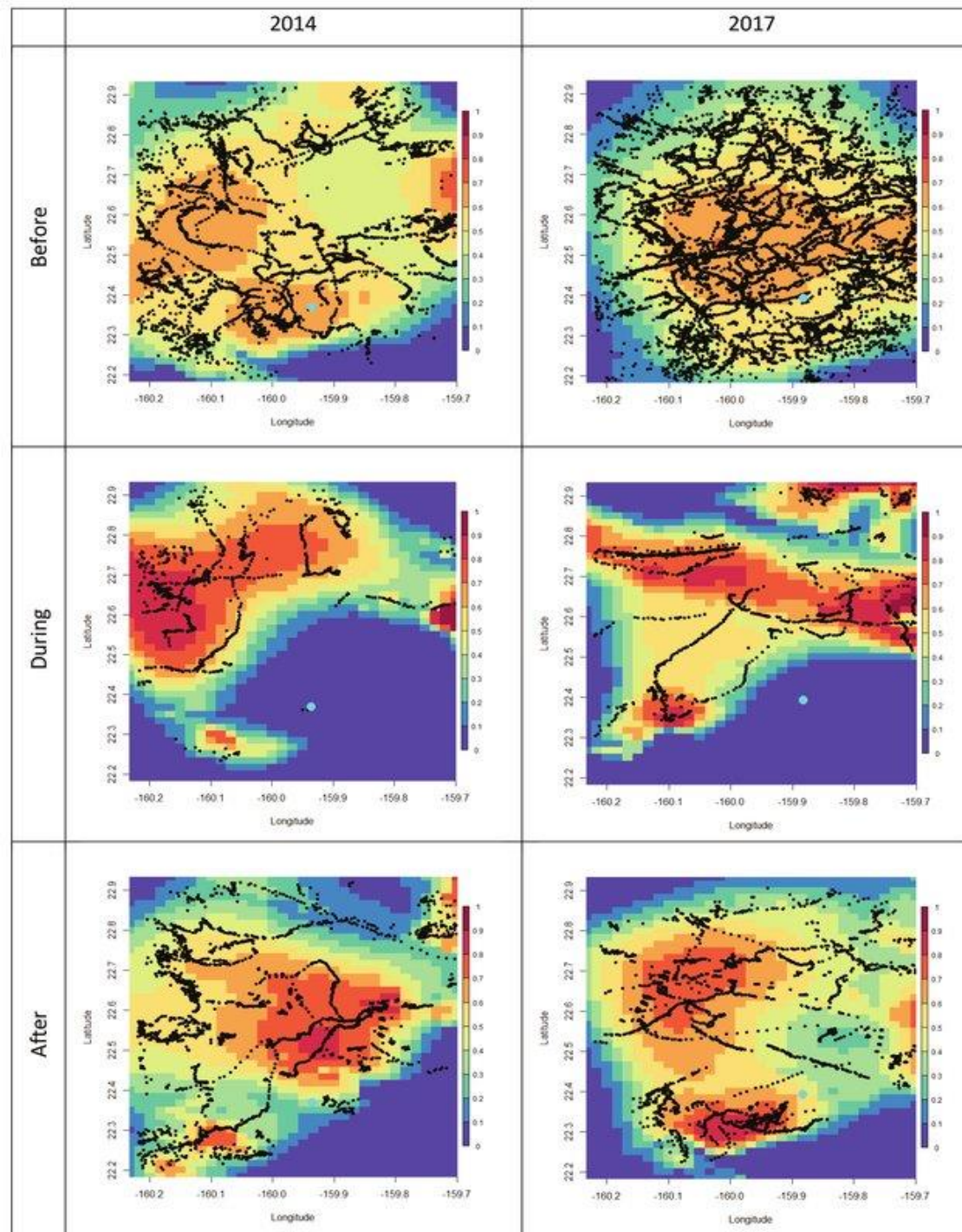


Henderson et al. 2018

Localized/ Tracked Animals

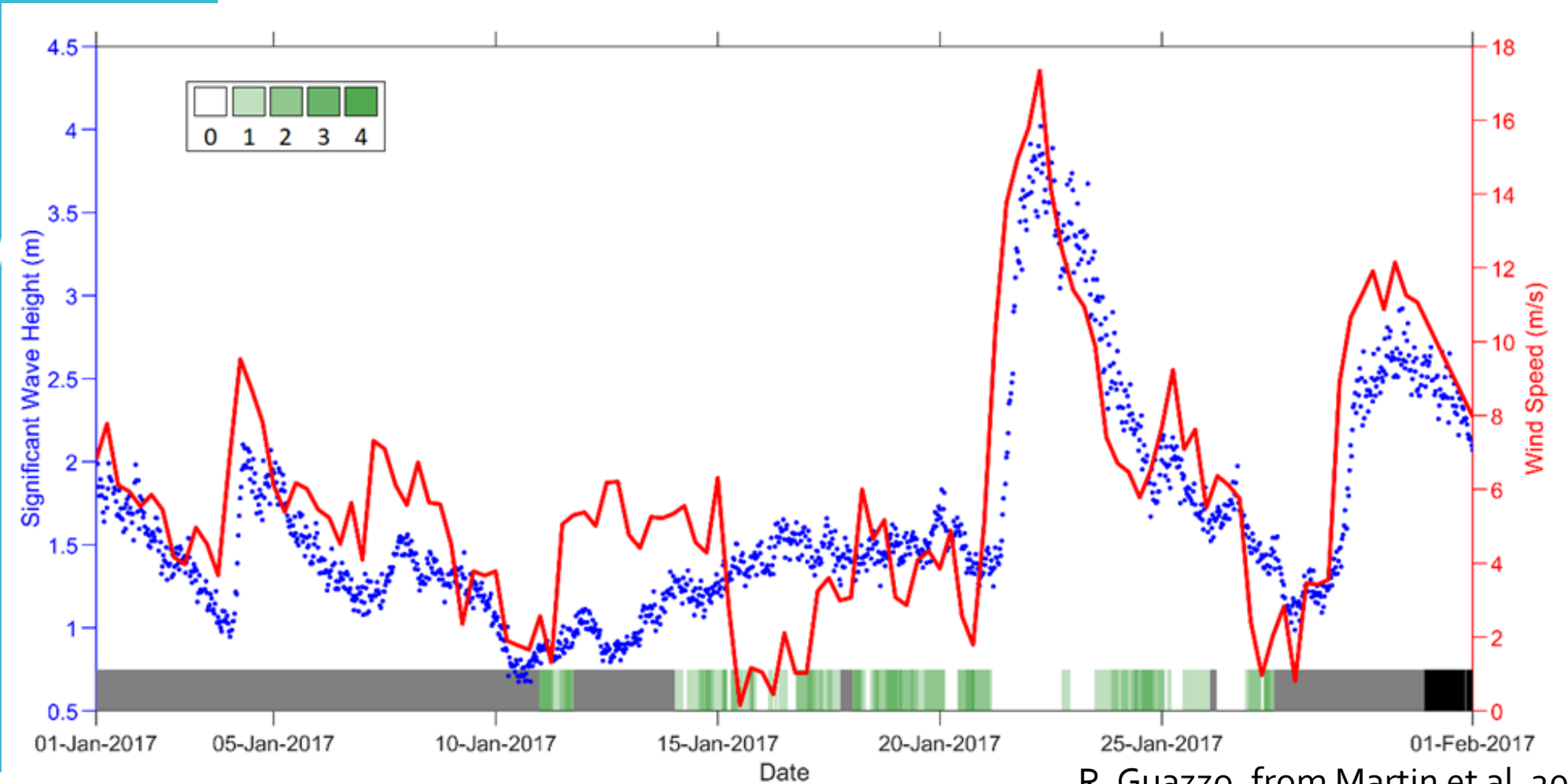
- Assess response in the vocal and movement behavior of acoustically tracked animals
 - [Harris et al. 2019](#) – Examined acoustically tracked minke whales before, during, and after Navy training events and observed changes in spatial distribution of whales
 - Durbach et al. in prep – changes in movement behavior of minke whales during periods of MFAS
 - [Martin et al. 2020](#) (figure by R. Guazzo) – minke whales stop calling during high ambient noise periods

Localized/ Tracked Animals



Harris et al. 2019

Localized, Tracked Animals

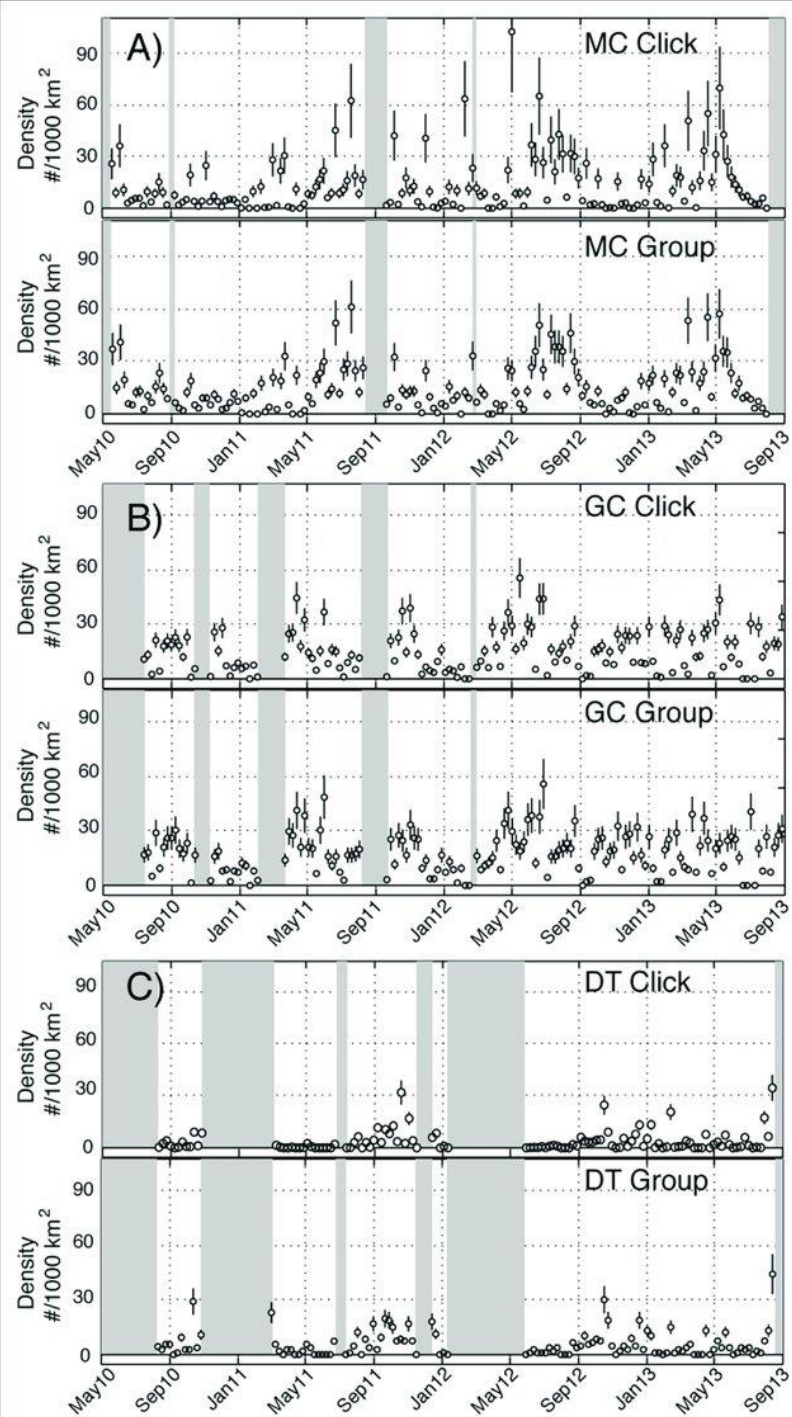


R. Guazzo, from Martin et al. 2020

Abundance and Density

- Can use acoustic data to obtain density estimates
- Cue Counting method
 - First need to know the cue/call rate and how stable it is
 - [Guazzo et al. 2020](#) – Acoustic call rates of fin whales in Hawaii over a decade
 - [Marques et al. 2009](#) – Used echolocation clicks from Blainville's beaked whales to estimate density from Navy range
 - Est. 22.5 - 25.3 animals/ 1000 km²
- Group/Dive Counting Method
 - Need information on group size and dive rates
 - [Moretti et al. 2010](#) – Blainville's beaked whale dive rates known from Navy range monitoring; group size known from visual surveys
 - Est. 16.99 – 24.75 animals/ 1000 km², comparable to click-counting method
 - [Hildebrand et al. 2019](#) – used click- and group-counting methods for dwarf and pygmy sperm whales in the GoM; found densities much higher than found in visual surveys – better coverage & detectability acoustically

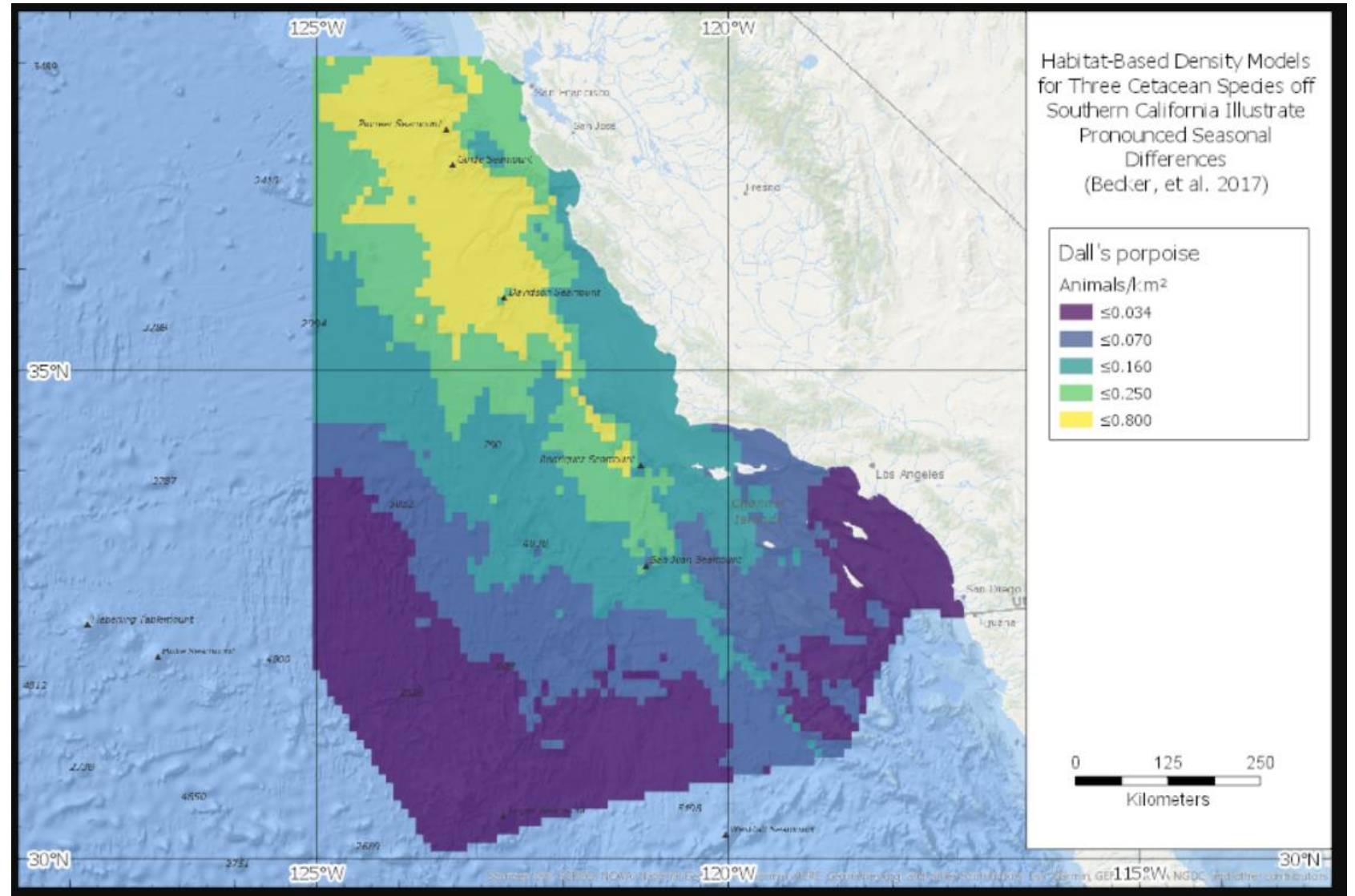
Abundance and Density



Abundance and Density

- Maps of marine mammal density
 - <https://cet sound.noaa.gov/cda-index>
 - <http://seamap.env.duke.edu/> - OBIS SEAMAP
- Density maps used by regulators for decision making
 - Navy uses density surfaces to estimate impacts of training activity
- Long term assessment of density can show if populations are increasing/decreasing
 - Vaquita in Gulf of California – repeated visual and acoustic surveys were able to track the population decline from 567 in 1997 to 245 in 2008 to ~50 in 2015 ([Barlow et al. 2007](#); [Gerrodette et al 2010](#); [Jaramillo-Legorreta et al. 2017](#))
 - Collaborative effort between US and Mexican scientists and government agencies
 - This rapid decline led to emergency 2-year ban on gillnets in GoC by Mexican government
 - Population now less than 30 animals

Abundance and Density

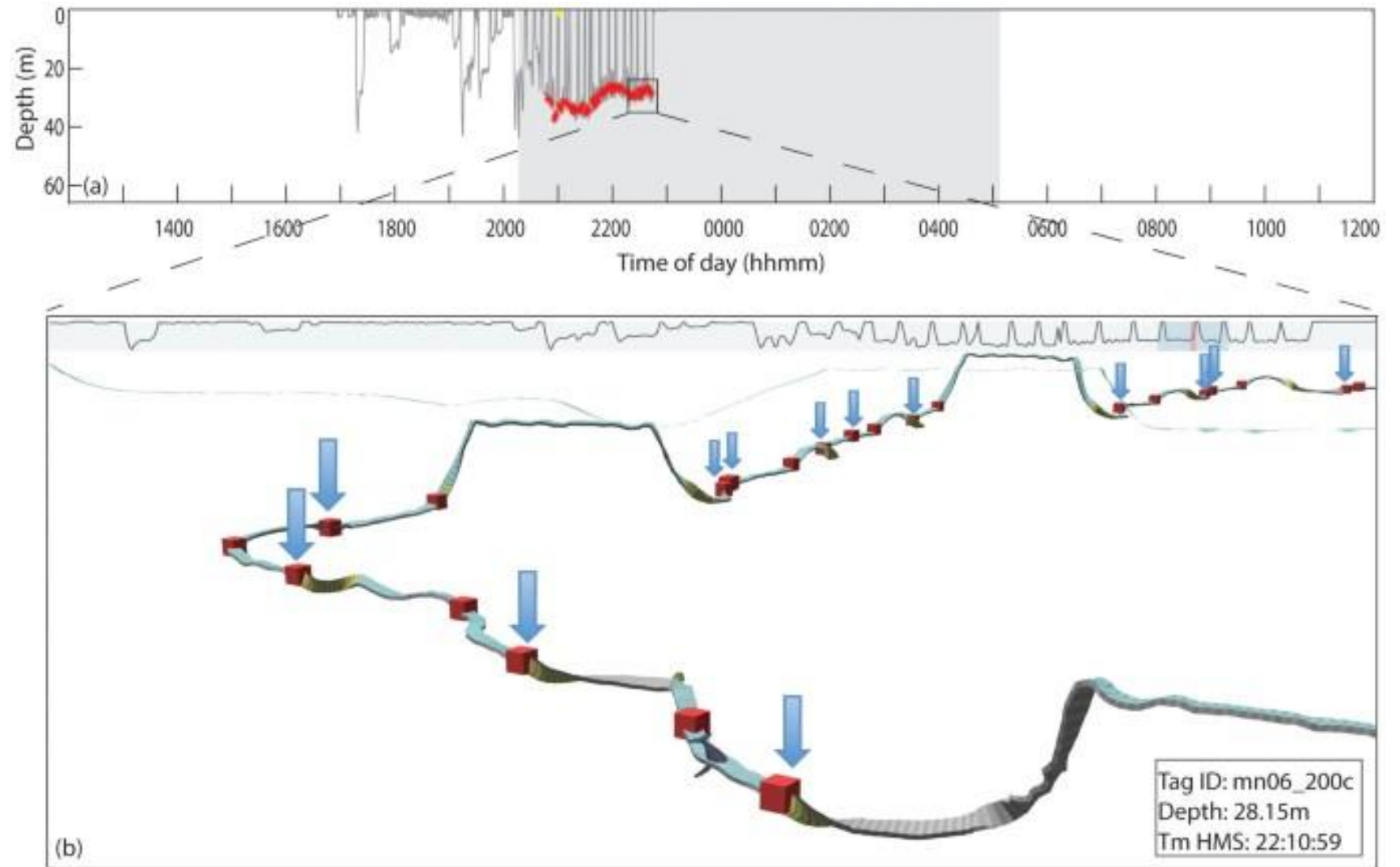


OBIS-SEAMAP (from Becker et al. 2017)

Acoustic Tags

- Acoustic tags – record sound plus fine movement, can link acoustics and behavior
 - [Tyack et al. 2006](#) – early work tagging Blainville's and Cuvier's beaked whales; discovered echolocation click behavior only occurred in certain period of dives
 - [Lewis et al. 2018](#) – linked blue whale vocalizations with dive behavior to determine when blue whales are calling (e.g. more at surface, less during deep foraging dives)
 - [Parks et al. 2014](#) – “paired burst” calls during humpback whale bottom feeding behavior

Acoustic Tags

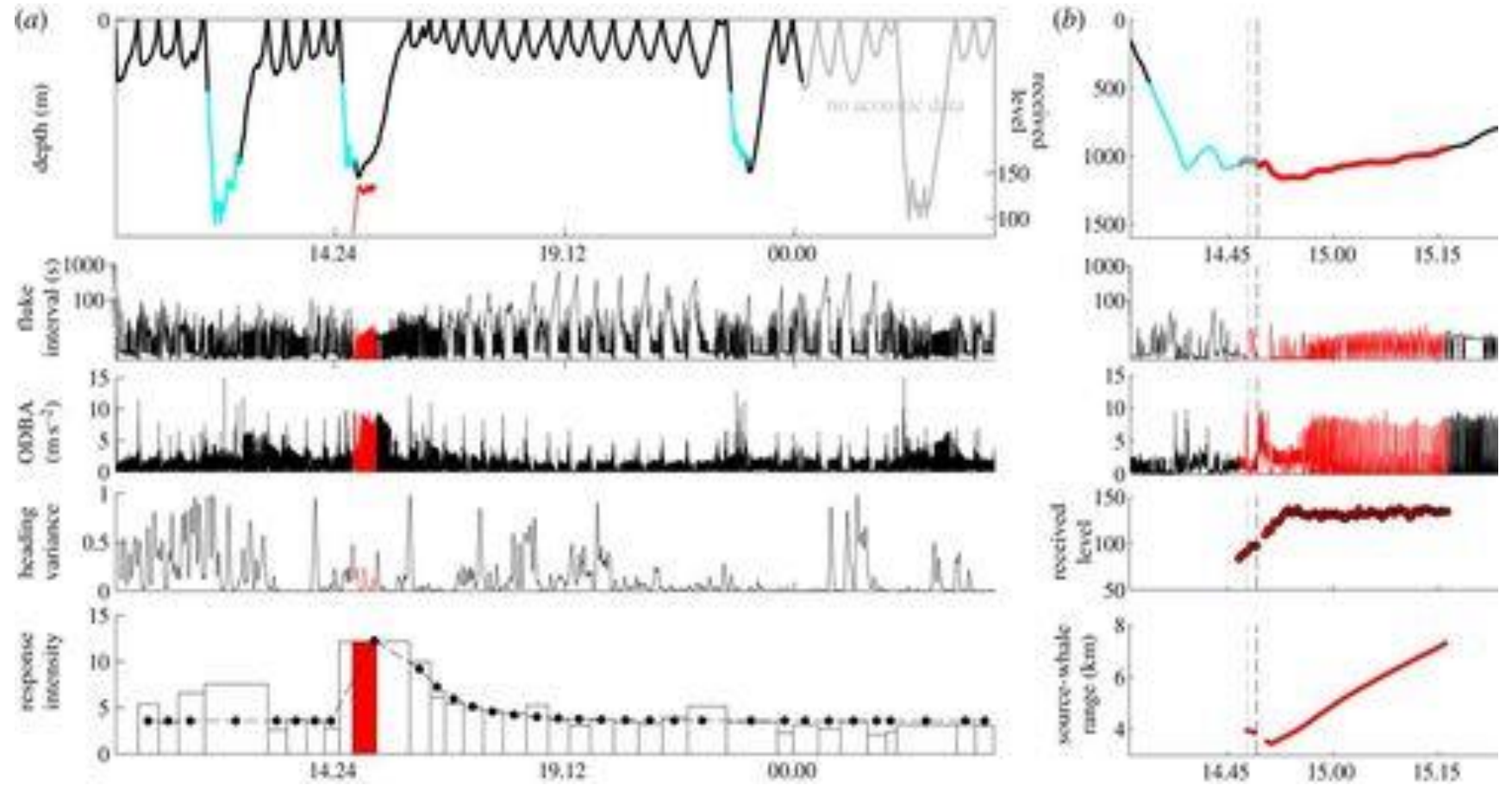


Parks et al. 2014

Acoustic Tags

- Acoustic and other tags used in behavioral response studies
 - [DeRuiter et al. 2013](#) - Looked at course and fine scale movements of individual Cuvier's beaked whales to determine if a response occurred
 - [Falcone et al. 2017](#) - Aggregate data from many Cuvier's beaked whales to look at overall changes in behavior
- Examine the relationship between received level and response
 - [Miller et al. 2013](#) - Measured received sound level on tagged killer whales, developed dose-response function
 - [Schick et al. 2019](#) – Modeled sound propagation field to estimate sound level at satellite tagged beaked whales and pilot whales

Acoustic Tags



DeRuiter et al. 2013

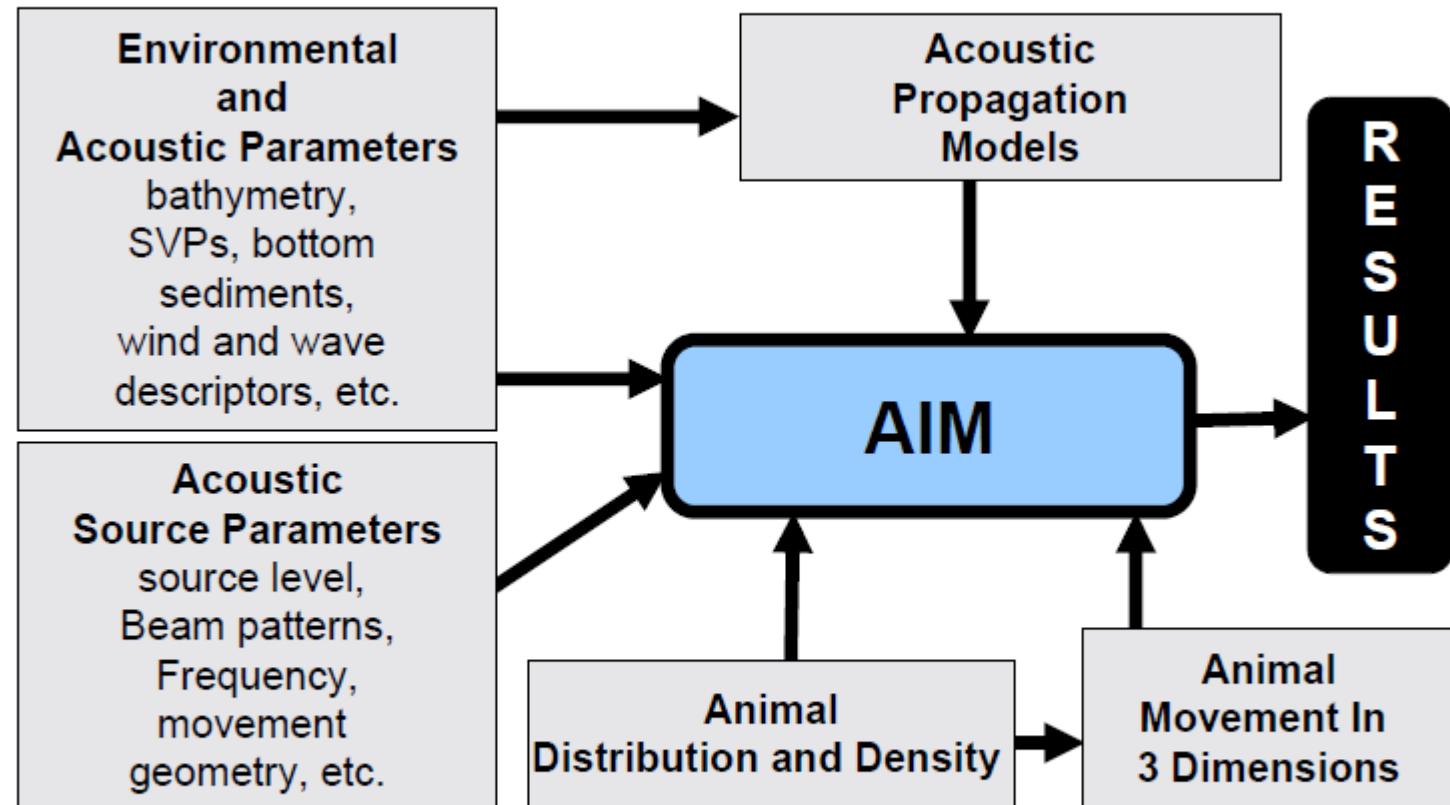
Decision Making Tools



- NMFS and Navy both developed criteria to estimate hearing threshold impacts for various sound sources
 - [NMFS Acoustic Technical Guidance](#)
 - [US Navy Criteria and Thresholds](#)
 - Similar thresholds and methods for TTS/PTS from sonar, developed in consultation together
- [Behavioral Risk Functions for Navy](#)
 - primarily relied on Behavioral Response Studies using acoustic tags, plus some captive research
 - Updating risk functions, considering incorporation of other sources of behavioral response
 - Acoustically tracked whales on ranges
 - Satellite tags with propagation modeled received levels
- BOEM assess takes using the [Acoustic Impact Model \(AIM\)](#)

Decision Making Tools



Analysis of Impacts – Estimation of Incidental Take from the Acoustic Integration Model (AIM)



 Necessary Inputs
 AIM Model

GGEIS@boem.gov





QUESTIONS?